

Biometrics

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BARRANQUILLA, COLOMBIA**

previously with

- **Warsaw University of Technology, Poland (*full professor*),**
- **AGH Krakow, Poland (*professor*),**
- and*
- **Hanbat University, Daejeon, South Korea (*visiting professor*)**

Image Analysis and Processing

Some Activities

- * Head
 - **Department of Biometrics and Signal Processing at PB**



- * **> 250 publications**

- * Editor-in-Chief:
 - **International Journal Biometrics, Inderscience, UK (since 2007)**
 - *(JCR journal - WoS, SCOPUS)*



- * CISIM General Chair and PC Chair:
 - International Conference on Computer Information Systems and Industrial Management (22 editions)**



Springer

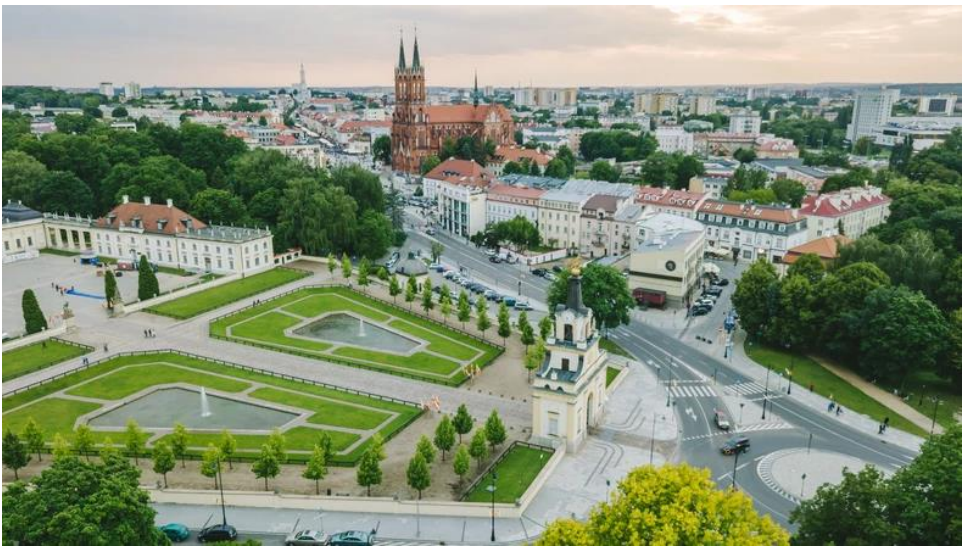


Selected Books

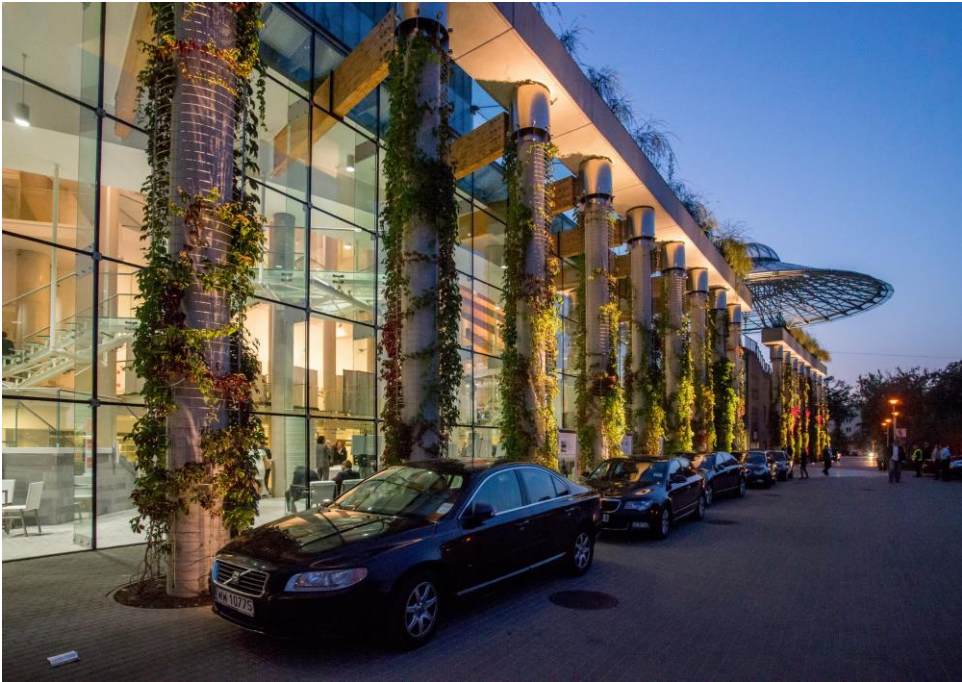


Bialystok









Białystok University of Technology

Faculty of Computer Science









A word about BUT:

Bialystok University of Technology

- 7 faculties
- 8 000 students
- 680 academic teachers
- 26 first degree courses
- 19 second degree courses
- 8 rights to confer doctoral degrees
- 5 rights to confer post-doctoral degrees
- ~ 90 bilateral scientific agreements with academic centres from 37 countries:
- Japan (Tokyo), Korea Daejeon and Seoul), India Kolkata, Czech Republic, China, ...
- and Colombia.

Biometrics

What is it?

Pattern Recognition?!

What is Biometrics?

Biometrics means **Biological Measurements**

The name comes from Greek **BIOS** - life
and **METRICOS** – measuring.

Since when have we known Biometrics?

1885-1913 B.C. (Mesopotamia)

Thumbprints were found on ancient Babylonian **clay tablets**, seals, and pottery. People impressed their fingerprints into the clay tablet on which the **legal business transaction** contract had been written to protect it against forgery.

Since when?



A transaction deal (left) with the thumbprint on the other side of the clay seal.

Since when?



(seen in Museum of London)

More,
by 246 B.C.E., **Chinese** officials impressed their
fingerprints in clay seals, **to stamp documents**.

In the 14th century, also in China - **hand and foot prints
of children** were stamped **on paper with *ink*** to distinguish
one child from another.

The school will comprise three parts:

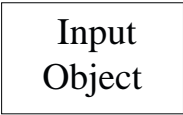
1. Introduction to Image Processing
2. Introduction to Biometrics
3. Implementation and Practical Use of Biometrics Methods

Hence, we will start with Image Processing and
Analysis and then go to BM.

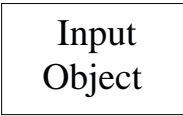
Introduction to Analysis and Processing of Biometric Images

Universal system for Image Analysis and Processing

Block Diagram

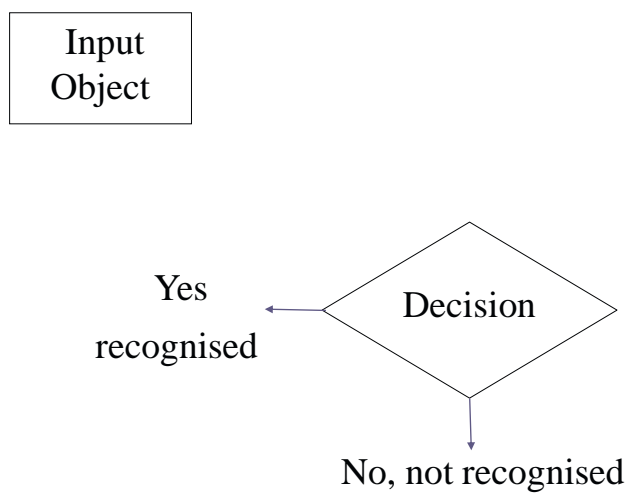


Block Diagram

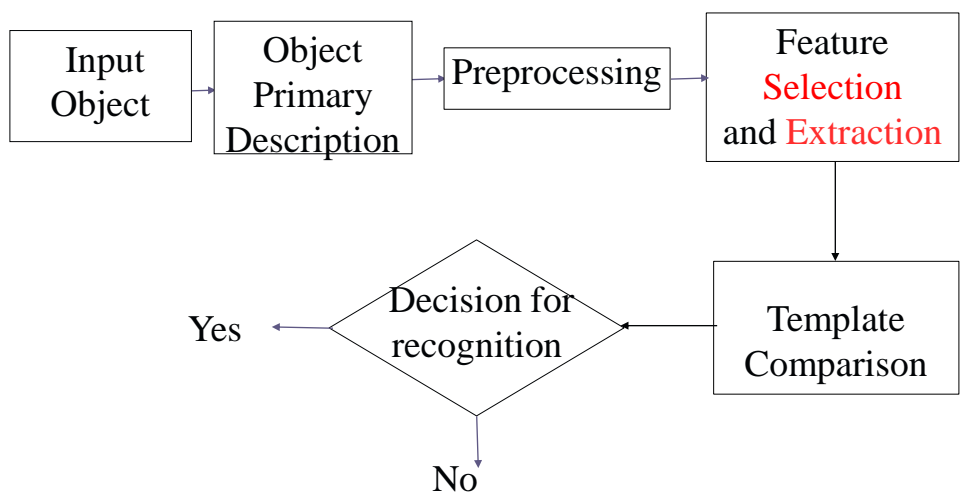


For the sake of recognition

Block Diagram



For the sake of recognition



For the sake of recognition

What is **Processing**,
and what is **Analysis**?

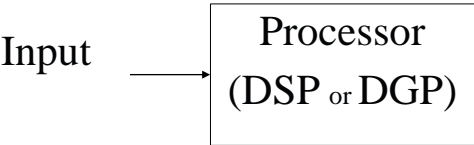
Processing

Processor

Processing



Processing

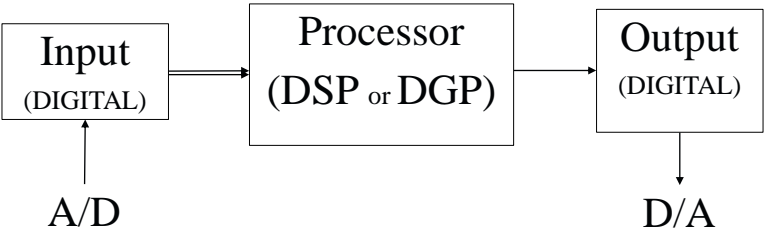
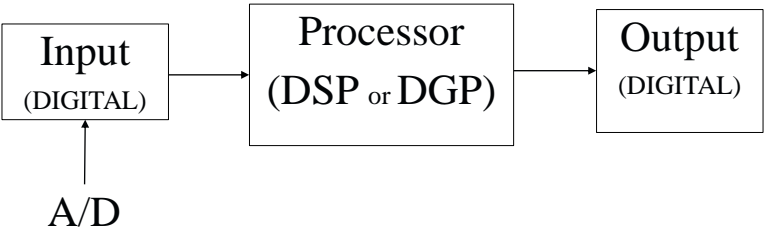


Processing



Processing





... and ANALYSIS?

Image Analysis
for Object Recognition

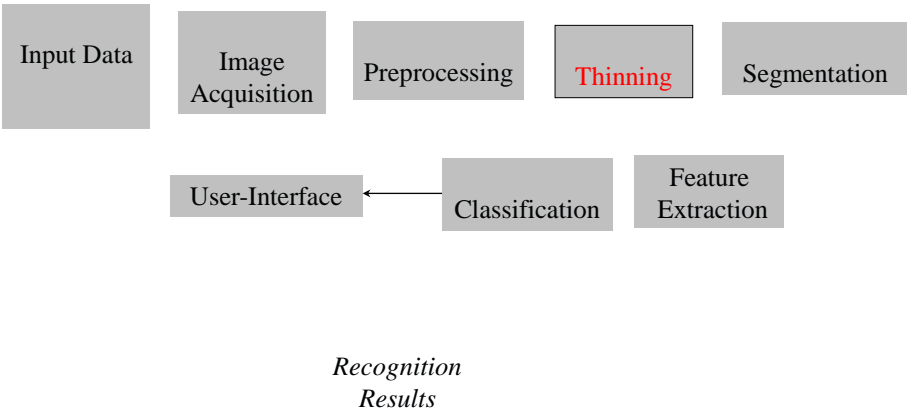
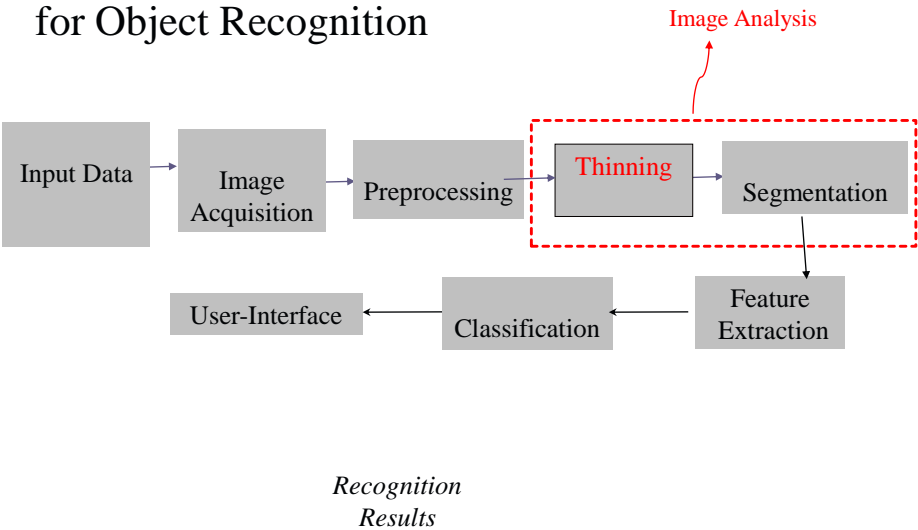
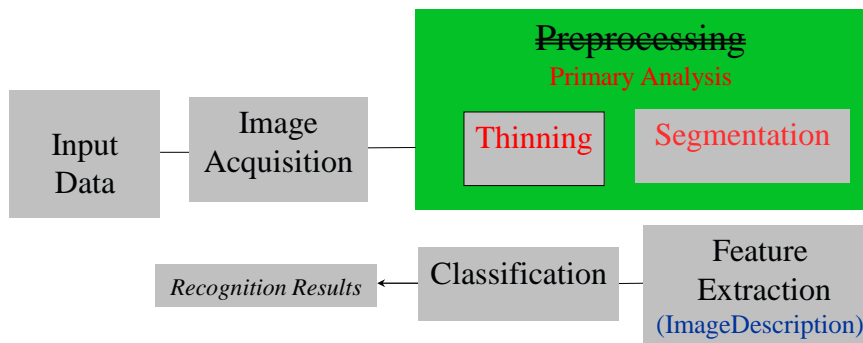


Image Analysis
for Object Recognition



RECALL



Hence, Analysis is the process of:
*Image Segmentation
and Thinning*

*Now, we will consider Image
Processing and then come back to
Image Segmentation and
Thinnning.*

Image Processing

Image Processing, in Some Understanding, is the process of **Image Transformation**.

The following study will let us understand the ways of image PROCESSING, that is:

changing/transformation/adapting/converting/altering
depending on the aim of the development. We will
pay special attention to the OBJECT RECOGNITION

Methods of Image Transformation (PROCESSING)

1. **Methods of Point-Wise Operations – LUT (Look Up Table)**
2. **Geometric Methods** (Image Position)
3. Processing **Methods by Image Filtering**

Methods of Image Transformation (PROCESSING)

1. **Methods of Point-Wise Operations** **LUT (Look Up Table)**

Operations on special parts of the the image.

The most populaer method of processing:

VISION EFFECTS like **Brightness and Contrast, Autoscaling, ... etc.**

The operations are done on monochromatic versions.

For the case of colored images, the operaions should be done on each channel independently.

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Methods of Image Transformation (PROCESSING)

2. **Geometric Methods (Image Position)**

In particular they concern the **SENSOR ERROR** correction resulting after **image capturing, aquiring** and then forwarding to the system for further processing.

Examples of such sensors are the camera, scanner, ... *which often cause image shifting, rotation*, .. etc.

Methods of Image Transformation (PROCESSING)

3a. Processing **Methods by Image Filtering**

* **Spectral Filters** (Whole-Image Filtering).

Fourier Transform is a good example.

Input Image → FT → Image Spectrum →
Removal of unnecessary (e.g., low or high
frequencies → Inverse FT to get the output
image as the ORIGINAL one BUT without
the unwanted parts.

Methods of Image Transformation (PROCESSING)

3b. Processing **Methods by Image Filtering**

* **Context Filters** (filtering some selected
parts or regions of an image and here may go
all known popular filters)

Methods of Image Transformation (PROCESSING)

3c. Processing Methods by Image Filtering

* **morphological** (conditional filtering – conditions should be satisfied)

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1. Look Up Table - LUT

(Point-Wise Operations)

(Point Processing of Images)

The most popular LUT operations on Images are the **Brightness** and **Contrast**.

1) BRIGHTNESS

(Linear Correction /Linear Brightness Enhancement)

Brightness (or also Darkness) is a linear operation:

$$W(x) = ax + b$$

Assume $a = 1$, then $W(x) = x + b$, with x to represent intensity (intensity value) in $[0,1]$.

Now,

if $b > 0$, then we have *brightening*,

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2) CONTRAST – Linear Contrast Enhancement

The second important function performed by LUT.

(contrast means to set in opposition in order to emphasize differences: city and country life, for example B&W in LEDded LCD.

In $W = ax + b$, for different values of a and b ,

If $b > 0$, then low contrast,

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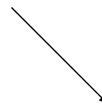
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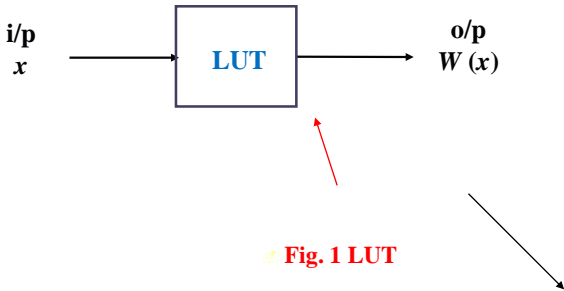
LUT System:

input $x \rightarrow$ LUT \rightarrow output $W(x)$



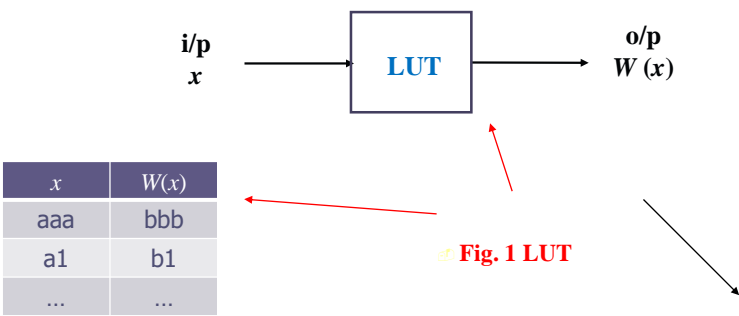
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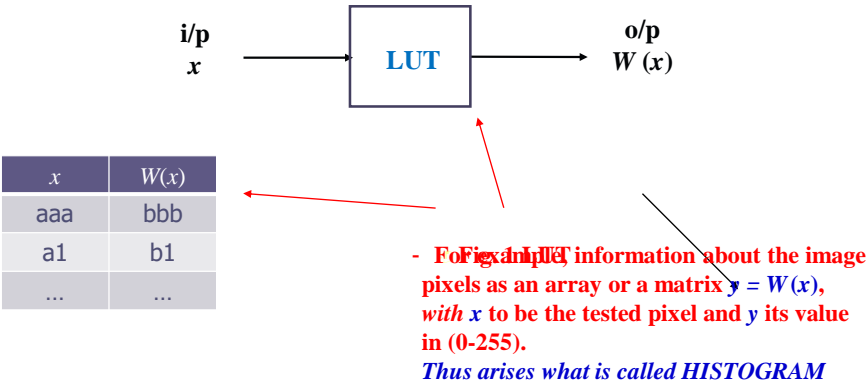
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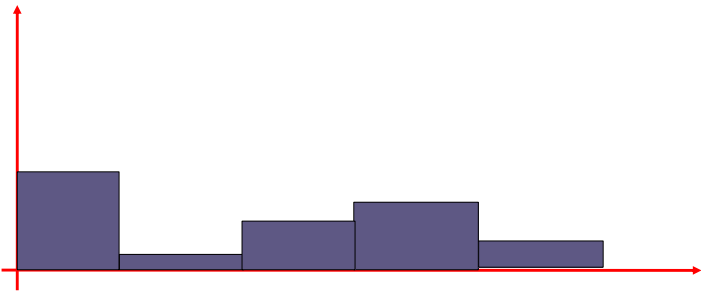


HISTOGRAM

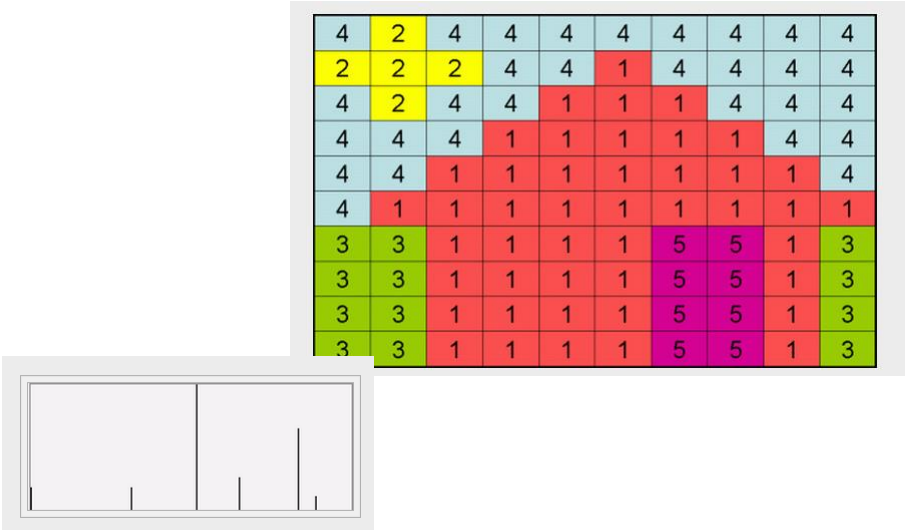
HISTOGRAM

(Information about image pixel distribution)

4	2	4	4	4	4	4	4	4	4
2	2	2	4	4	1	4	4	4	4
4	2	4	4	1	1	1	4	4	4
4	4	4	1	1	1	1	1	4	4
4	4	1	1	1	1	1	1	1	4
4	1	1	1	1	1	1	1	1	1
3	3	1	1	1	1	5	5	1	3
3	3	1	1	1	1	5	5	1	3
3	3	1	1	1	1	5	5	1	3
3	3	1	1	1	1	5	5	1	3



Histogram



LUT Extension

If the input x is the value of the pixel defined in the domain from 0 to $(2^B - 1)$, where B = number of bits representing one image point (pixel value), then at the output (the display) as an EFFECT, W can be described according to the user's need or the application:

$W(x) = a x^n, n > 0$

$n = 1$ $n < 1$ $n > 1$
 $W(x) = ax;$ $a\sqrt{x};$ $ax^2 \gg \gg$ see Fig. 2

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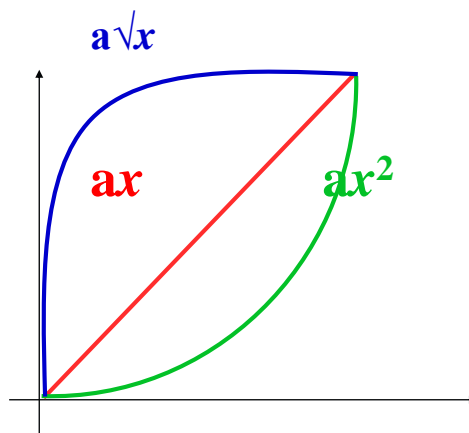
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- ✎ Consider the case when $\mathbf{a = 1}$ in $W(x) = ax$, i.e., $\mathbf{W(x) = x}$, which means that the output image is identical to the input one and hence LUT does not change anything.
- ✎ Thus, it is said \mathbf{x} is *directly mapped into $W(x)$* .

Now, if x represents the **Image Intensity**, $B = 8 \rightarrow 0 - 255$,
then, as an effect, the **brightness $W(x)$** will vary between
black (at $x = 0$) and **white** (at $x = 255$).
>>>>>> See Fig. 3

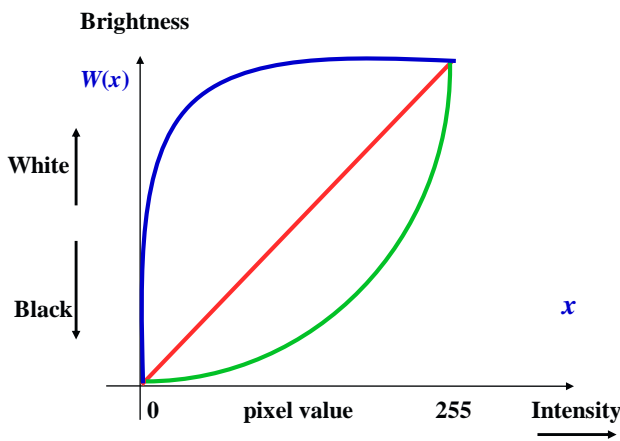
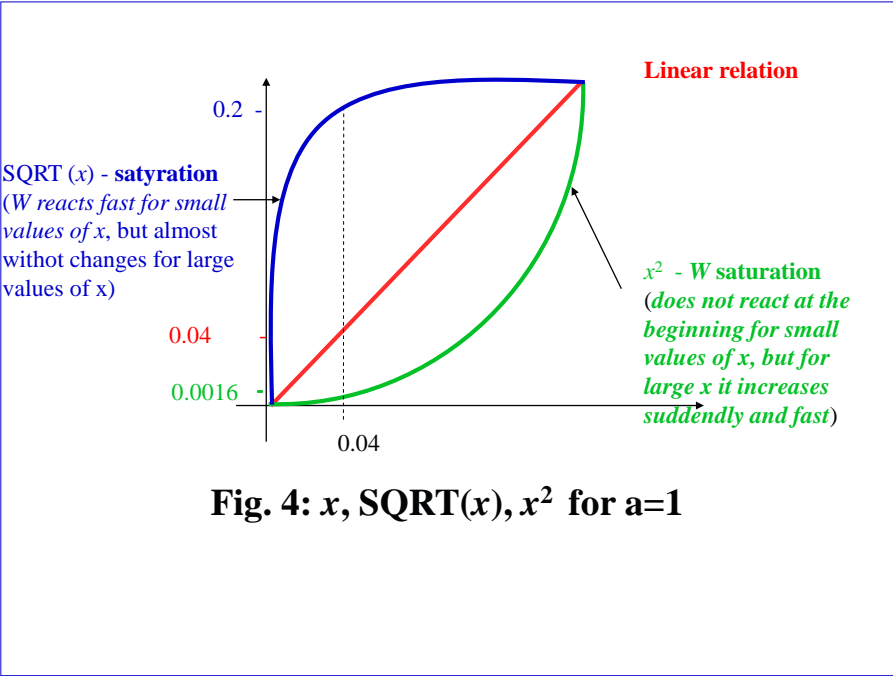


Fig. 3. Visual Effects — linear and nonlinear



Hence, we can get varieties of visual effects by LUT.

*Consider now the graphical representation for
BRIGHTNESS and CONTRAST aganist pixel
intensity:*

*(**LINEAR Brightness Enhancement**)*

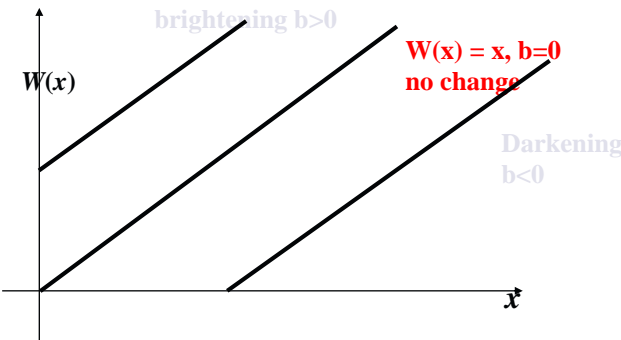


Fig.. 4 Brightening and Darkening

*(**LINEAR Brightness Enhancement**)*

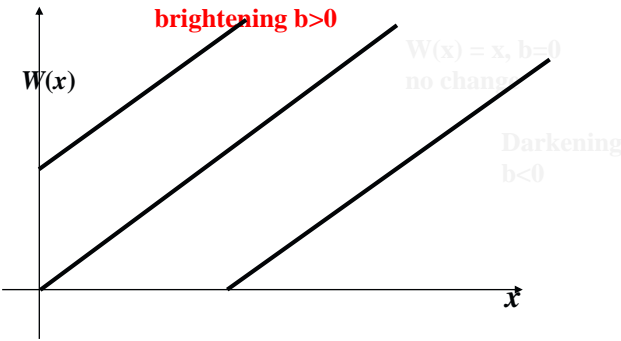


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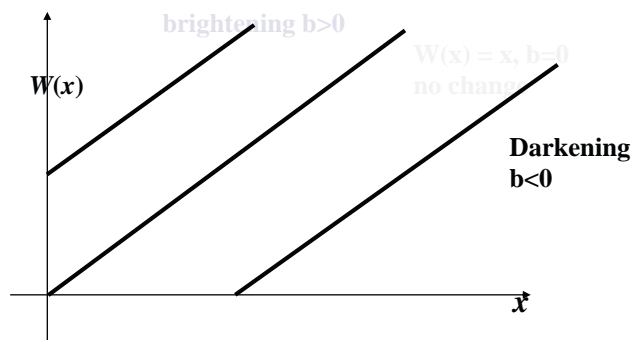


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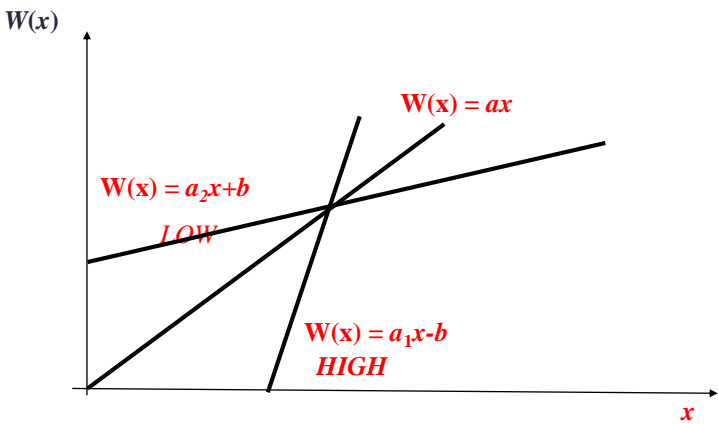


Fig. 5 Contrast – Changing in b

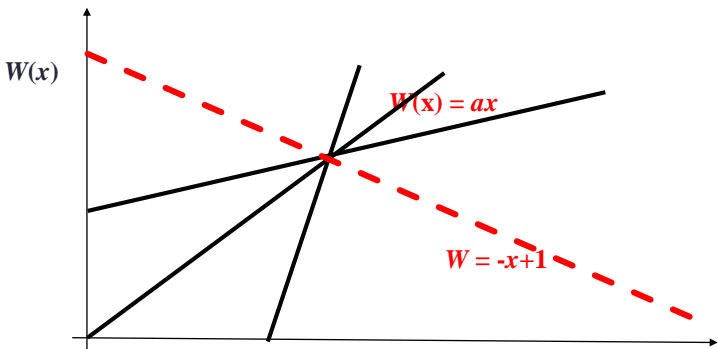


Fig. 6 Inverse contrast

Hence, *contrast and brightness are changed by only modifying the dynamic intensity wherever required and/or desired.*

Drawbacks of linear contrast correction

The enhancement or correction will usually lead to saturation.

>>> Fig. 7

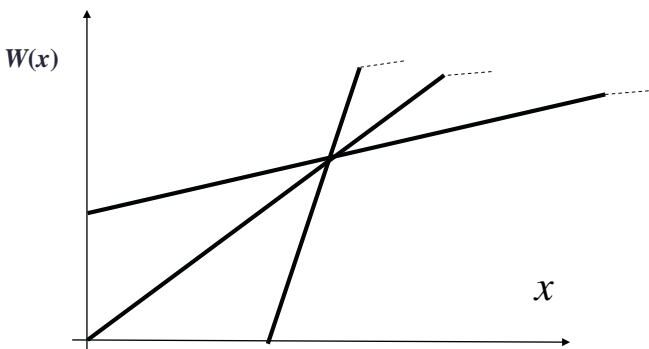


Fig. 7 Saturation

Problem solution:
1. Autoscaling

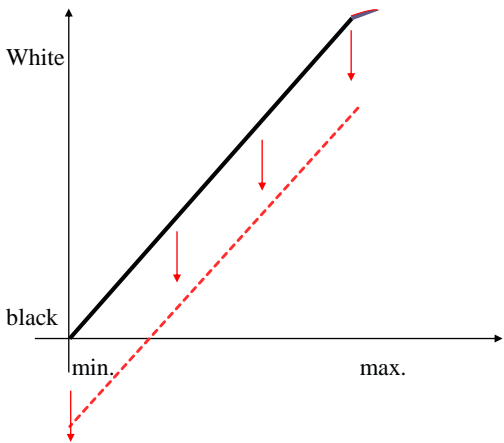


Fig. 8 Autoscaling – Curve shifting,
that is changing b value

- 2. Intensity values sorting in increasing order and then mapping 1% → black, 99% → white.
- This leads to smaller amount of saturation, but would lead to a good contrast.

2. Problem solving: *curve cut*

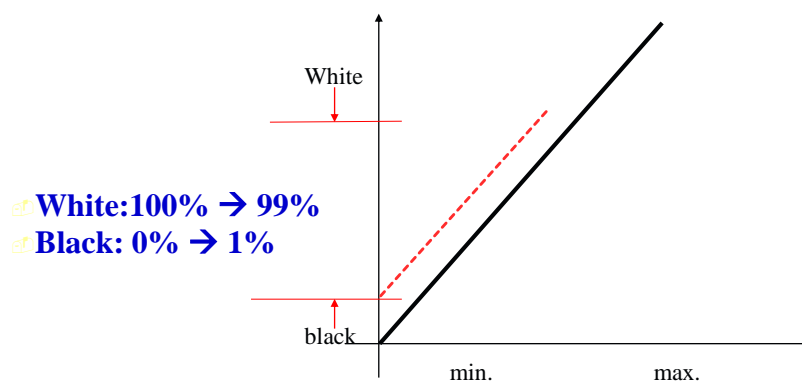


Fig. 8 Curve cutting

👉 **3. Problem Solution:**

👉 **Gamma Correction >>> Fig. 9**

👉 **Gamma Correction**

👉 **Recall**

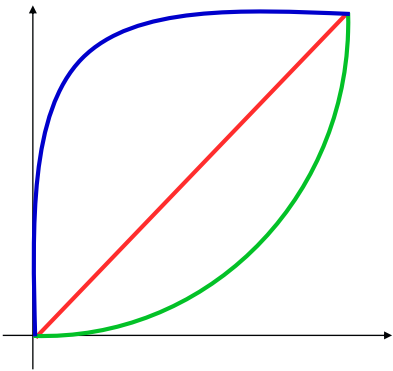
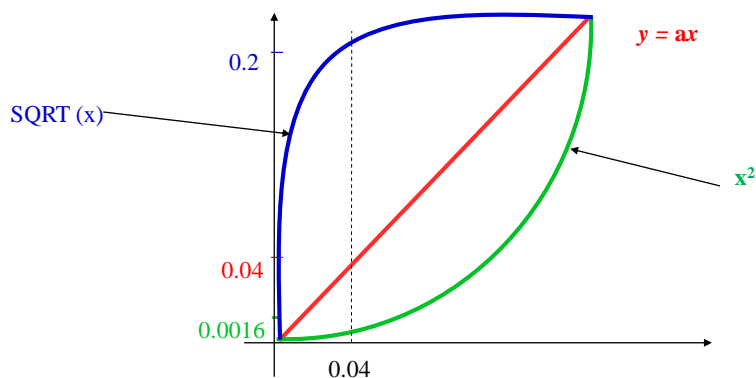


Fig. 9



Rys. 2. x, \sqrt{x}, x^2 dla $a=1$

🏠 **Gamma Correction would give**

🏠 **more balanced correction, after
scaling -- 2 degrees of freedom**

🏠 **>>> see Rys. 10**

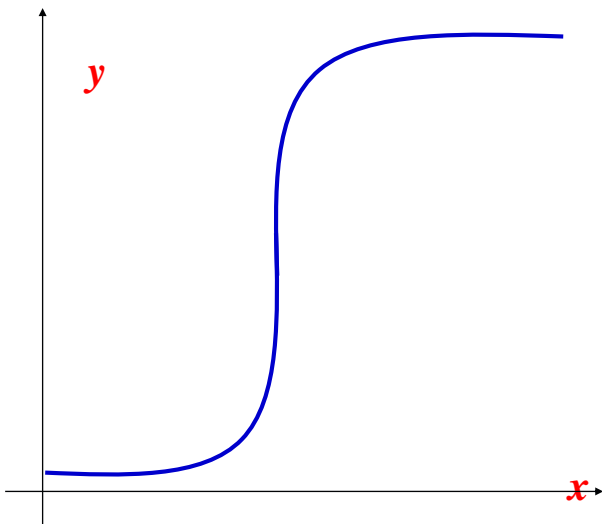


Fig. 10 Contrast Sigmoid – gamma correction

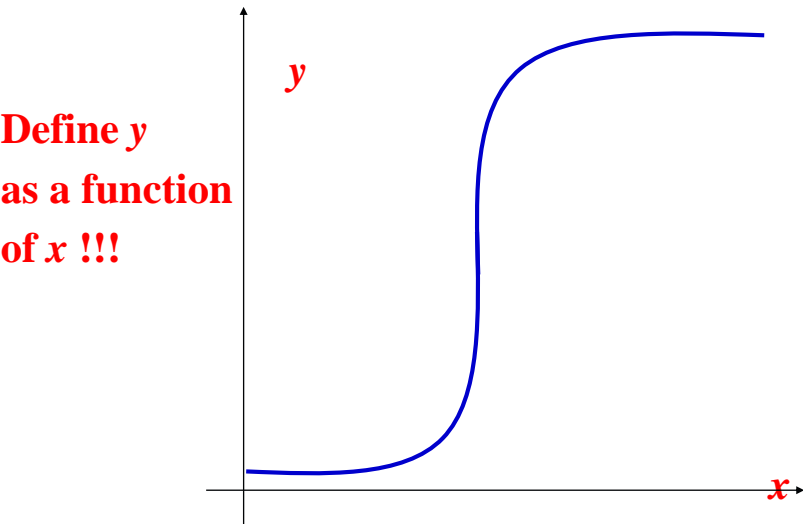


Fig. 10 Contrast Sigmoid – gamma correction

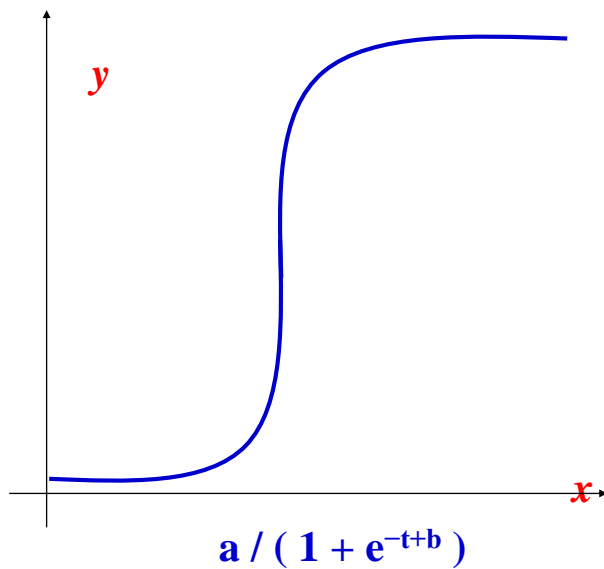


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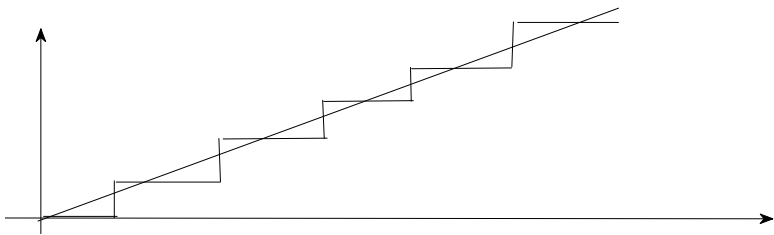
Quantize and Threshold

Quantize (2^b with b being the bit value)

Threshold - also 2^b) but $b=1$, that is one bit value to get 2 intensity values)

Quantize

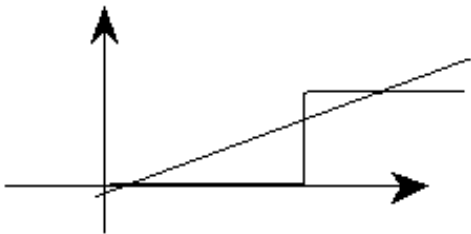
☞ Quanizing with 5 step function:



☞ Fig. 11 Step function with 5 intesity values

Threshold

Thresholding with a 2-step function



☞ Fig. 12 Step function with 2 intesity values

Methods of Image Transformation (PROCESSING) Part II

Methods of Image Transformation (PROCESSING) Part II

1. **Methods of Point-Wise Operations – LUT (Look Up Table)**

Operations on special parts of the the image.

The most populaer method of processing: **VISION EFFECTS** like **Brightness and Contrast, Autoscaling**, ... etc.

2. **Geometric Methods (Image Position)**

In particular they concern the **SENSOR ERROR** correction resulting after image capturing, aquiring and then forwarding to the system for further processing.

Examples of such sensors are the camera, scanner, ... which often cause image shifting, rotation, .. etc.

3. **Processing Methods by Image Filtering**

* **Spectral Filters** (Whole-Image Filtering). Fourier Transform is a good example.

Input Image → FT → Image Spectrum → Removal of unnecessary (e.g., low or high frequencies) → Inverse FT to get the output image as the **ORIGINAL** one BUT without the unwanted parts.

* **Context Filters** (filtering selected parts or regions of an image

Here may go all known popular filters

* **morphological** (conditonal filtering – conditions should be satisfied)

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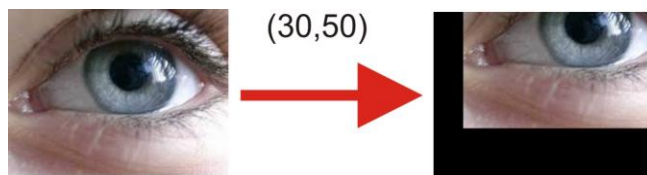
Geometric Methods

- Shifting,
- Rotation
- Reflection (mirror effect)
- Deformation
- Linear translation

Shifting

- Input Image $Z(x,y)$
- Output Image $D(m,n)$
- Vector (p,q)

$$m=x+p, n=y+q$$

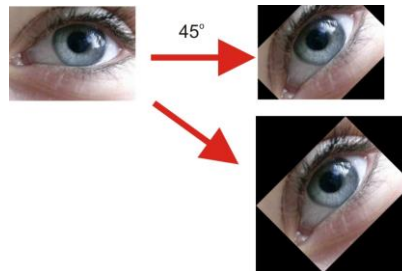


Rotation

- Input Image $Z(x,y)$
- Output Image $D(m,n)$
- Angle α

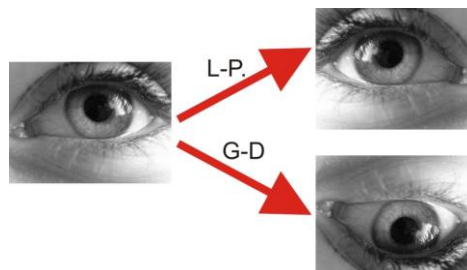
$$m = x \cos \alpha - y \sin \alpha$$

$$n = x \sin \alpha + y \cos \alpha$$

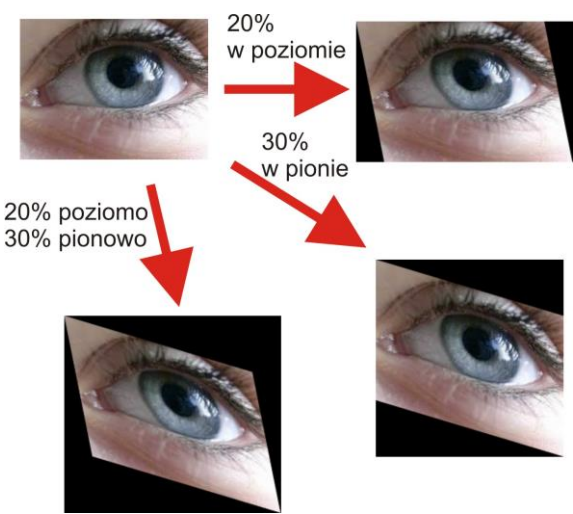


Reflection

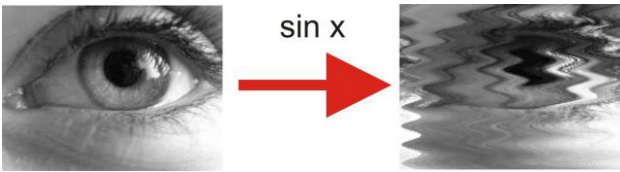
- Horizontal (L-P)
- Vertical (G-D)



Translation



Deformation



Part III

Filters

Image Processing by Filtering

Methods of Image Transformation (PROCESSING)

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Methods of Image Transformation

3. **Image Filtering** – divided into:

* **Spectral (whole image filtration).**

Example of these methods is **Fourier Transform:**

Input image FT → Image Spectrum → removing the unwanted harmonics (e.g., low or high frequencies → Inverse Fourier Transform (to obtain an image with the required conditions).

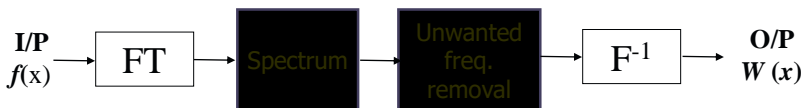
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This is rather a LOGICAL Operation.

Filter Selection

The choice of the filter is often determined by the nature of the task and the type and behaviour of the DATA.

Hence,

- Noise,
- dynamic range,
- color accuracy,
- optical artifacts,
- and many more details ...

These factors will always affect the outcome of the filter functions in image processing.

Filter Classification is a difficult task
😊 !!

POPULAR METHODS:

There are two major categories:
Linear
&
Nonlinear Filters

Linear Filters

Several principles define a linear system.

The first two are the basic definitions of linearity.

If a system is defined to have an input as $x[n] = ax[n_1] + bx[n_2]$, then the linear system response is $y[n] = ay[n_1] + by[n_2]$. This is known as the **superposition property**, and is fundamental to linear system design.

The second property is **shift invariance**. If $y[n]$ is the response to a linear, shift-invariant system with input $x[n]$, then $y[n-n_0]$ is the response to the system with input $x[n-n_0]$.

- In addition, two extra conditions are imposed, **causal and stable**.

The **causal** condition is needed when considering systems in which future values are not known (for example, in video streaming). It is possible to consider a system that is not causal when looking at captured images with samples before and after the target location (for example, in a buffered version of an image frame).

Stability is imposed to keep a filter's output from exceeding a finite limit, given an input that also does not exceed a finite limit. This is called the Bounded-Input Bounded-Output (BIBO) condition.

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Nonlinear Filters

For nonlinear filters, the filter output or response of the filter does not obey the principles outlined earlier, **particularly scaling and shift invariance**.

Moreover, a nonlinear filter can produce results that vary in a non-intuitive manner.

The simplest nonlinear filter to consider is the **median or rank-order** filter. In the median filter, filter output depends on the ordering of input values, usually ranked from smallest to largest or vice versa. A filter support range with an odd number of values is used, making it easy to select the output.

*Median filter is called **NONLINEAR** only because, its response is **NOT LINEAR** – it is dependent on the input values sorting.*

REMARK

Each of these filter types can be parameterized to work one way under certain circumstances and another way under a different set of circumstances using adaptive filter rule generation.

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Each of these TWO filter types can be parameterized to work one way under certain circumstances and another way under a different set of circumstances using adaptive filter rule generation.

Contextual Methods of Image Filtering

Linear >>> to remove noise that has been introduced in an additive fashion

- Convolution
- LP Filters
- HP Filters
- Gauss Filter (Averaging)
- Edge Detection, derivative, steerable, Wiener

Nonlinear Filters >>> here the noise is a small number of pixels that are corrupted (randomly take a value of white or black – salt&pepper) due to, for example, a faulty transmission line.

- Median
- Extreme (max and min)
- Adaptive

Low-Pass Filters

Origin/source image



Low-Pass Filters

Origin/source image



In this filter, when all the elements are ones ,1'
then the filter is of AVERAGING character



Low-Pass Filters

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In this filter, when all the elements are ones ,1'
then the filter is of AVERAGING character,

as here →

$$w(i, j) = \begin{bmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{bmatrix}$$



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as here →

$$w(i, j) = \begin{bmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{bmatrix}$$



Now, if the mid element is >1, (for
example ,2' as in the image here →)
then the aim is to enhance and
strengthen the central pixel.

$$w(i, j) = \begin{bmatrix} 1 & 1 & 1 \\ 1 & 2 & 1 \\ 1 & 1 & 1 \end{bmatrix}$$



Low-Pass Filters, more ...



If the elements $a_{12}, a_{21}, a_{23}, a_{32}$ sq >1,
and a_{22} isw their square, then we call it
GAUSS Filter



Low-Pass Filters, more ...



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However, if the central element is ZERO, then
the aim is to enhance or strenthen the
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Low-Pass Filters, more ...



If the elements $a_{12}, a_{21}, a_{23}, a_{32}$ $\neq 0$,
and a_{22} is their square, then we call it
GAUSS Filter

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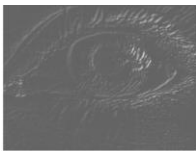
$$w(i, j) = \begin{bmatrix} 1 & 1 & 1 \\ 1 & 0 & 1 \\ 1 & 1 & 1 \end{bmatrix}$$



High-Pass Filters – Edge Detecting Filters

Roberts Gradient

$$w(i, j) = \begin{bmatrix} 0 & 0 & 0 \\ -1 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix}$$

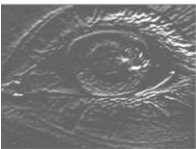


$$w(i, j) = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & -1 \\ 0 & 1 & 0 \end{bmatrix}$$



Prewitt mask/filter

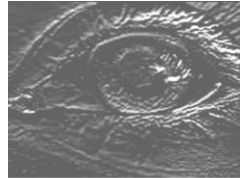
$$w(i, j) = \begin{bmatrix} -1 & -1 & -1 \\ 0 & 0 & 0 \\ 1 & 1 & 1 \end{bmatrix}$$



High-Pass Filter

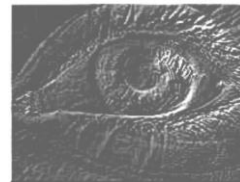
- Sobel mask

$$w(i, j) = \begin{bmatrix} -1 & -2 & -1 \\ 0 & 0 & 0 \\ 1 & 2 & 1 \end{bmatrix}$$



- Directional mask – Corner detection

$$w(i, j) = \begin{bmatrix} 1 & 1 & 1 \\ 1 & -2 & -1 \\ 1 & -1 & -1 \end{bmatrix}$$



Convolution

- Mathematically:

$$g(x) = (f \times h)(x) = \int_{-\infty}^{+\infty} f(x-t)h(t)dt$$

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- Discrete case:

$$L'(m, n) = (w \times L)(m, n) = \sum_{i, j \in K} L(m-i, n-j)w(i, j)$$

$w(i, j)$ – convolution mask

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$w(i, j)$ – convolution mask

In practice, normalization is used:

$$L'(m, n) = (w \times L)(m, n) = \frac{1}{\sum_{i, j \in MK} w(i, j)} \sum_{i, j \in K} L(m-i, n-j)w(i, j)$$

How the convolution filters work !!

17	35	91
20	48	82
78	43	70

$w(i, j) = \begin{bmatrix} w_1 & w_2 & w_3 \\ w_4 & w_5 & w_6 \\ w_7 & w_8 & w_9 \end{bmatrix} = \begin{bmatrix} 1 & 2 & 1 \\ 2 & 4 & 2 \\ 1 & 2 & 1 \end{bmatrix}$

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- Input pixel - 48

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- Input pixel - 48
- Output value:

$$L_x = 17 \cdot w_1 + 35 \cdot w_2 + 91 \cdot w_3 + 20 \cdot w_4 + 48 \cdot w_5 + 82 \cdot w_6 + 78 \cdot w_7 + 43 \cdot w_8 + 70 \cdot w_9 = 808$$

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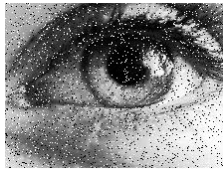
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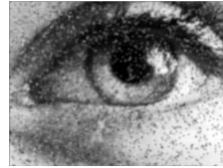
- After normalization:

$$L'_x = \frac{L_x}{\sum_{i,j} w(i, j)} = \frac{808}{16} = 50.5 \approx 51$$

Gauss Filter



Noise „Pepper and salt”



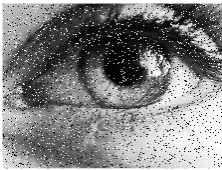
Gauss Filter
($\sigma > 1$)

does not solve
the problem of
this kind of
noise

Nonlinear Filters

Here the noise is when a small number of pixels are corrupted (randomly, they take a value of white or black – salt & pepper) due to, for example, a faulty transmission line.

Nonlinear Filters! Why NOT Linear?



Noise „Pepper and salt”



Median Filter



Nonlinear Filters

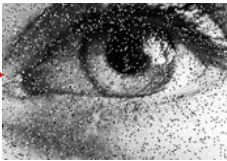
Averaging filter

For comparison, use a linear filter)

Gauss Filter – additive noise



No difference !!



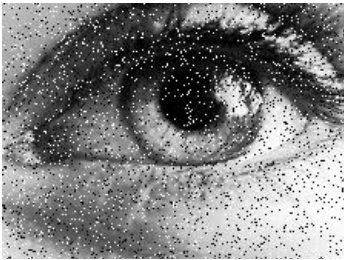
Nonlinear Filters



Median Filtr



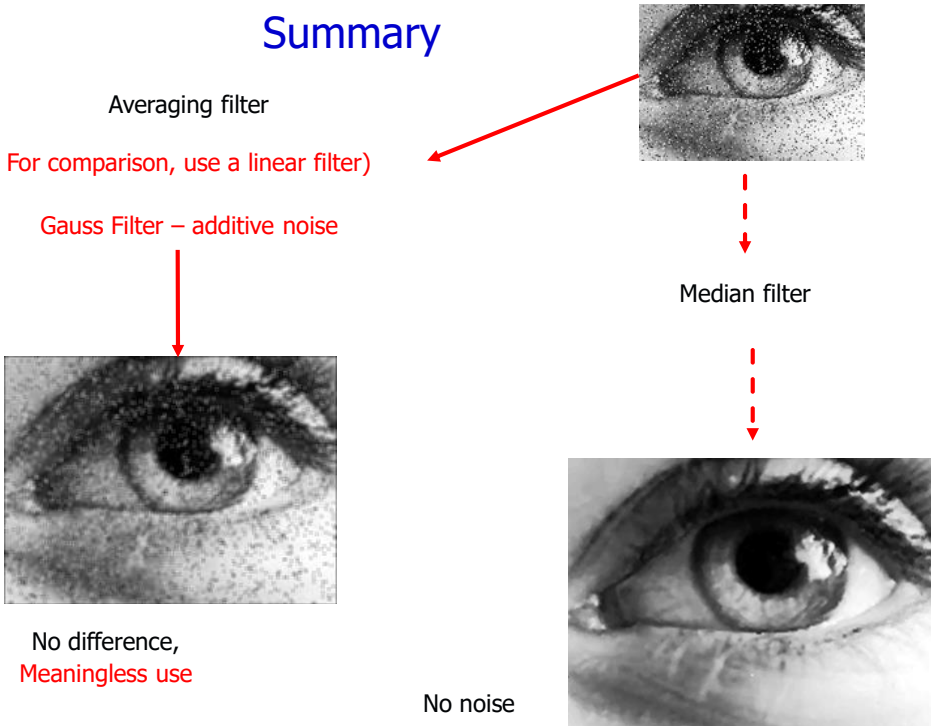
Actually, trying to average out the noise in this fashion is equivalent to asking for the average salary of a group of 8 workers and their main boss - a millionaire, say. The boss's salary will skew (**deform**) the average salary. And so does each noise pixel when its value is **so disparate/dissimilar** from its neighbours. In such cases the mean is replaced with the MEDIAN-the centre pixel of each 3x3 neighborhood is replaced with the median of the nine pixel values in that neighborhood.



Median Filter



**Resulting image
WITHOUT noise**



Take other examples.....



Nonlinear filters.... [More](#)

Applying a 15x15 median filter will result in losing many internal details, but retaining the boundary contours (edges), what is called →

POSTERIZATION.

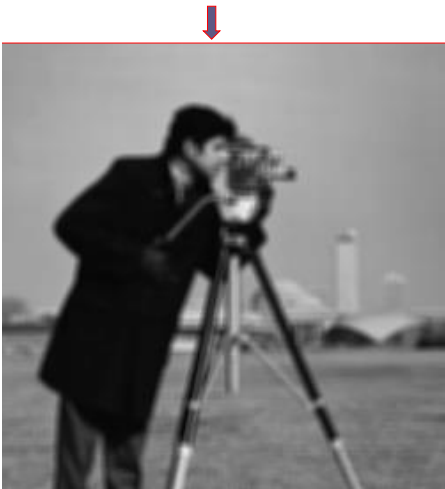


POSTERIZATION



Median and Average

Applying a 5x5 averaging filter >>> to
compare with the 15x15 median filter
– see the edges !!



Median and Average

Applying a 15x15 median filter will
result in losing many internal details,
but retaining the boundary contours
(edges), what is called
POSTERIZATION. 15x15 Fussy



**This effect could never be achieved with
an averaging filter which would
indiscriminately smooth over all image
structures.**

Min, Max and Median – their work:

91	35	70
20	78	43
17	82	48

Min, Max and Median

91	35	70
20	78	43
17	82	48

Sort the pixel values
[17,20,35,43,48,70,78,82,91]

Min, Max and Median

91	35	70
20	78	43
17	82	48

Sort the pixel values
[17,20,35,43,48,70,78,82,91]
Replace the central value with:

- 17 for Min filter

Min, Max and Median

91	35	70
20	78	43
17	82	48

Sort the pixel values
[17,20,35,43,48,70,78,82,91]
Replace the central value with:

- 17 for Min filter
- 48 for Median filter

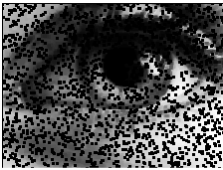
Min, Max and Median

91	35	70
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17	82	48

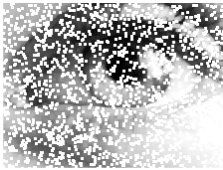
Sort the pixel values
[17,20,35,43,48,70,78,82,91]
Replace the central value with:

- 17 for Min filter
- 48 for Median filter
- 91 for Max filter

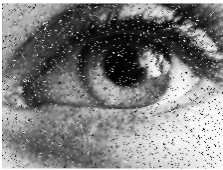
More examples of applications



Min



Max



Adaptive

Spectral Filters

■ Fourier Transform

Discrete Fourier Transform for digital images

$$F(i, k) = \beta_L \sum_{m=0}^{M-1} \sum_{n=0}^{N-1} L(m, n) e^{\frac{-j2\pi mi}{M}} e^{\frac{-j2\pi nk}{N}},$$

$$i = 0, \dots, M-1; k = 0, \dots, N-1$$

Spectral Filters

■ Fourier Transform

Discrete Fourier Transform for digital images

$$F(i, k) = \beta_L \sum_{m=0}^{M-1} \sum_{n=0}^{N-1} L(m, n) e^{\frac{-j2\pi mi}{M}} e^{\frac{-j2\pi nk}{N}},$$

$$i = 0, \dots, M-1; k = 0, \dots, N-1$$

Inverse FT:

$$L(m, n) = \beta_F \sum_{i=0}^{M-1} \sum_{k=0}^{N-1} F(i, k) e^{\frac{j2\pi mi}{M}} e^{\frac{j2\pi nk}{N}},$$

$$m = 0, \dots, M-1; n = 0, \dots, N-1$$

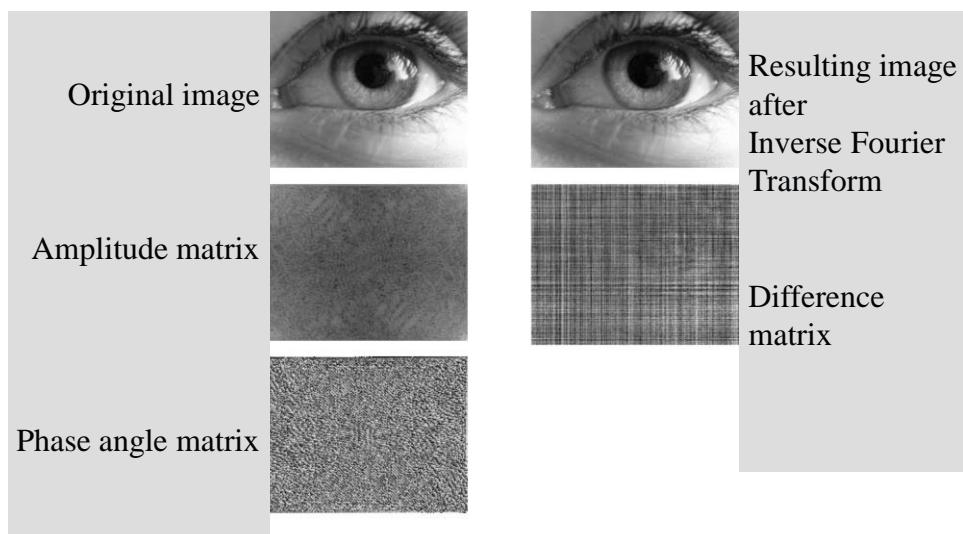
$$\beta_L \cdot \beta_F = \frac{1}{M \cdot N}$$

Filtering by FT for digital images

The procedure is similar to the analogue application:

- 1.- Two dimensional specrum is calculated,
- 2.- modified,
- 3.- and the resulting sepctrum is reconstructed
- 4.by inverse FT

Fourier Transform



Morphological Methods

- Erosion and Dilation
- Opening and Closing
- Thinning

Erosion

Using different structural templates

- 3x3



- 5x5



- 7x7



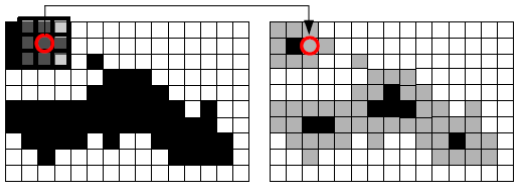
Dilation

- Using different structural templates
- 3x3
- 5x5
- 7x7

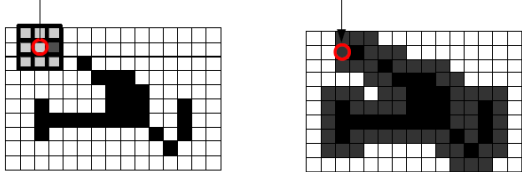


Erosion and Dilation – their work:

w otoczeniu zdefiniowanym przez SE jest co najmniej 1 piksel '0'



w otoczeniu zdefiniowanym przez SE jest co najmniej 1 piksel '1'



Open and Close

- Open
(Dilation of Erosion)



- Closing
(Erosion of Dilation)



Thank you

LECTURE 2

Introduction to Biometrics

Human Recognition and Authentication

Human Authentication

Human Authentication

- Traditional means of automatic authentication:

Human Authentication

- Traditional means of automatic authentication are:
 - **Possession-based** (credit card, smart card, passport, ID card, ...)

Human Authentication

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 - Uses “*something that you have*”

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 - *Uses “something that **you know**”*

Biometrics Authentication

- Traditional means of automatic authentication are:
 - **Possession-based** (credit card, smart card, passport, ID card, ...)
 - *Uses “something that you have”*
 - **Knowledge-based** (password, PIN)
 - *Uses “something that you know”*
 - **Biometrics-based** (biometric identifier)

Biometrics Authentication

- Traditional means of automatic authentication are:
 - **Possession-based** (credit card, smart card, passport, ID card, ...)
 - *Uses “something that you have”*
 - **Knowledge-based** (password, PIN)
 - *Uses “something that you know”*
 - **Biometrics-based** (biometric identifier)
 - *Uses something that relies on “**what you are**”*

Human Authentication

- **Possession-based** (credit card, smart card, passport, ID card, ...)
 - Uses “*something we have*”
- **Knowledge-based** (password, PIN)
 - Uses “*something that we know*”
- **Biometrics-based** (biometric identifier)
 - Uses something that relies on “*what we are*”

Problems with traditional authentication

- Tokens may be lost, stolen or forgotten
- Passwords or PINs may be forgotten
- or easily guessed by the imposters
- of people seem to write their PIN on their ATM card
-
- The *traditional approaches* are **unable to differentiate between an authorized person and an impostor** (the person pretending to be somebody he/she is not)

This is a good evidence that we still need a safer system for our identification or authentication

Hence, what is the alternative solution to avoid imposters?

The *Biometric features* seems to be the solution.

Biometric systems mainly consist of four parts:

1. **Scanning Hardware** (camera, microphone, text scanner, X-Ray and Magnetic Resonance Machines, ...) - to scan the human anatomy being under test.
2. **Analog-to-Digital Converter** - in order to gather the information and convert it into a digital form.
3. **An appropriate Software** to manipulate digital data for the DSP or DGP
4. **Database** to save the classified image and compare it with the already stored image in the database for person identification and/or verification.

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Biometrics Categories:

1. Physiological (*Cognitive Biometrics*)

Physiological biometrics measure the *distinct traits that people have*, usually (but not always or entirely) dictated by their genetics. They are **based on** measurements and data derived from **direct measurement of a part of the human body**.

Examples

Fingerprints	Iris image (coloured part of the eye)
Face	Odor
Retina	Ear
Vascular pattern	Lips
Hand geometry	DNA

2. Behavioral (*Behaviometrics*)

Behavioral biometrics measure the *distinct actions that humans take*, which are generally very hard to copy from one person to another. They **measure characteristics of the human body indirectly**.

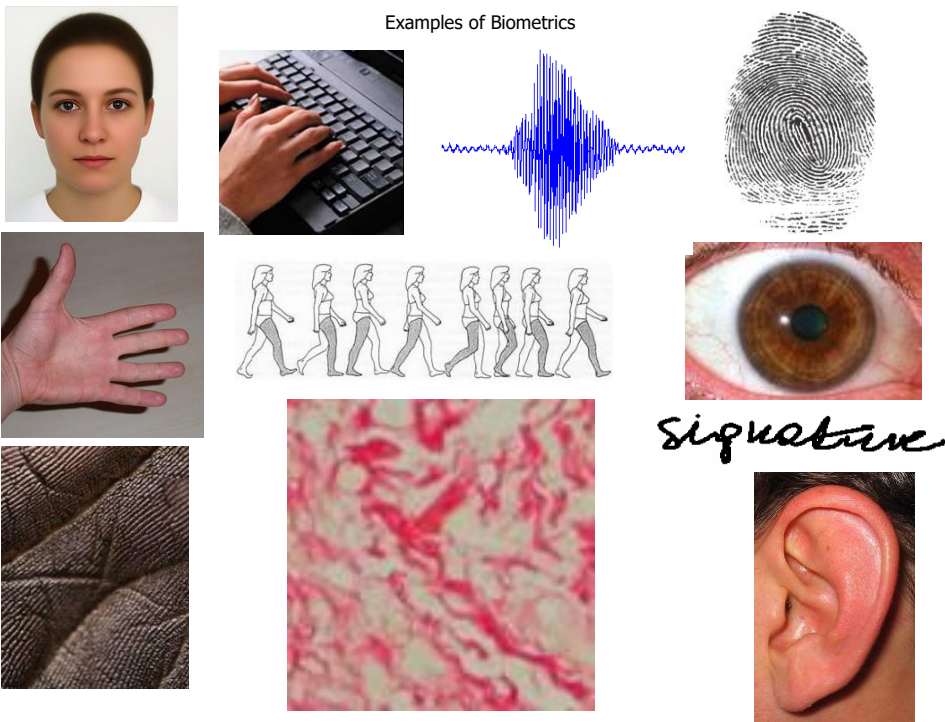
Examples:

Speaker Recognition
Signature
Keystroke
Mouse dynamics
Gait (way of walking)

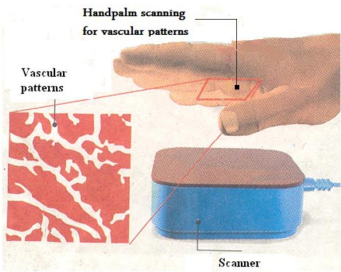
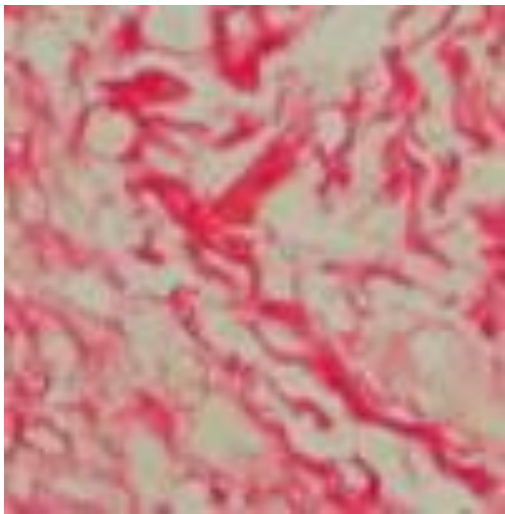
Affective Systems

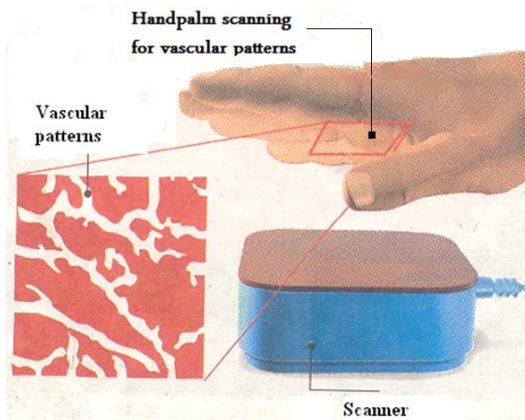
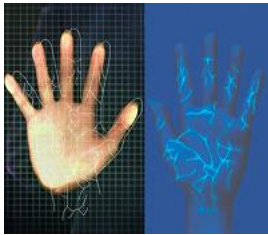
Emotion and Intention Detection

>>> **Kansei Engg (Japan)**



Vascular Pattern





Problems with Biometrics

Biometrics cannot be recreated,

This is a fact, but from the other side, they can be stolen.

This is called SPOOFING

... Spoofing !!!

Most Biometric features can be stolen:

Shaking hands, Face or Iris image by a good camera, ...

All can be taken easily and shown to ATM's !

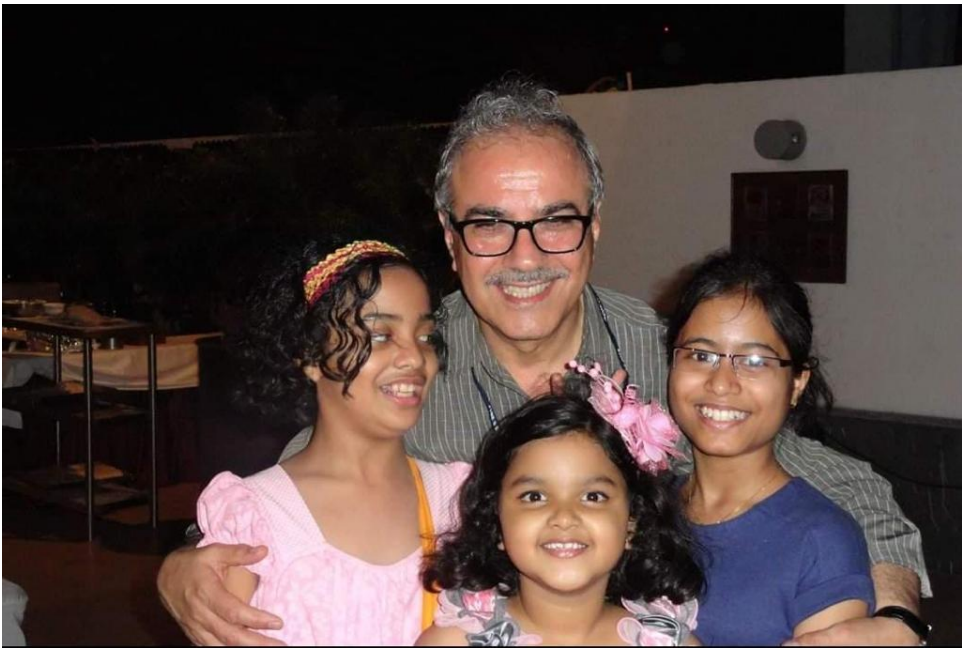
K. Saeed (EiC), "Spoofing and Anti-Spoofing in Biometrics", IJBM-International Journal of Biometrics, vol. 1, no. 2, Inderscience Publishers, UK, 2008.

Other problems with Biometrics

>>>Aging<<<



>>>2014<<<



>>>2022<<<





Example

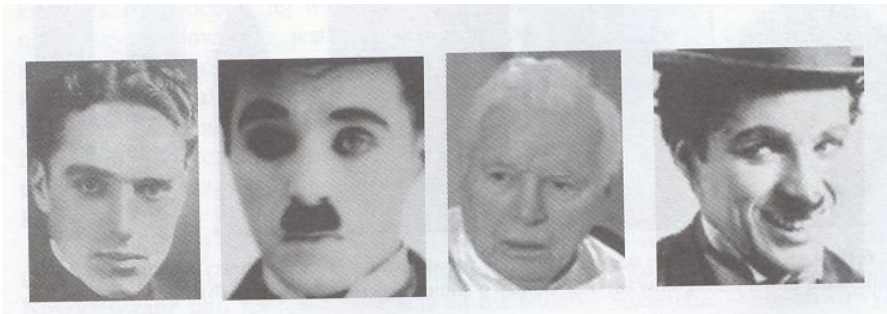
Who is this man?



Maybe you would know this man?



If not, then I am sure you know this man!!



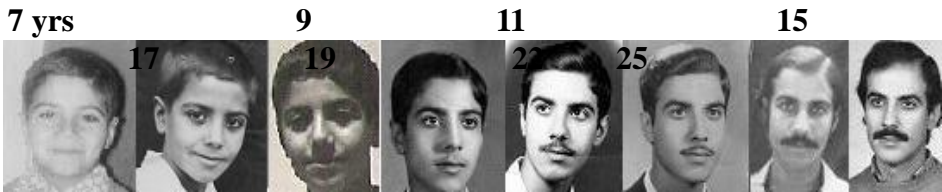
And this man, do you know him?



Some people never change 😊



Some people never change 😊



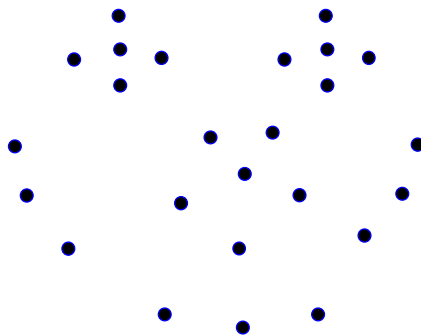
Some people never change 😊



Mathematical Aspects

Challenges for engineers

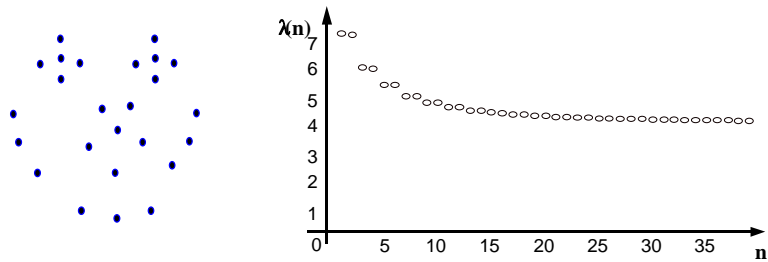
Biometrics Image Description:



This collection of points can be the feature points of any image in BIOMETRICS, a face, for example, can be transformed to a nonincreasing series, by Toeplitz matrices

The mathematical model of **Toeplitz-Caratheodory*** character, simply deals with such points for *image description*.

According to this theory the collection of points is transformed into a stable distribution, simply a **REGULAR SEQUENCE** of points:



*In all of the biometric images, the **characteristic points** would form in a way or another Toeplitz matrices:*

$$C = \begin{bmatrix} c_0 & c_{-1} & c_{-2} & \cdots & c_{-n+1} \\ c_1 & c_0 & c_{-1} & \ddots & \vdots \\ c_2 & c_1 & c_0 & \ddots & c_{-2} \\ \vdots & \ddots & \ddots & \ddots & c_{-1} \\ c_{n-1} & \cdots & c_2 & c_1 & c_0 \end{bmatrix}$$

with

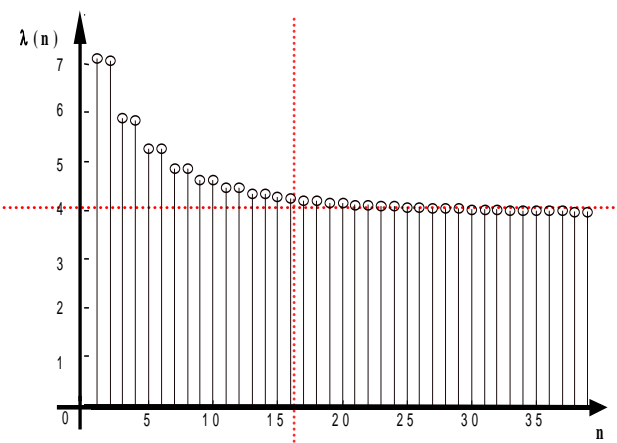
$$C_{i,j} = C_{i-1,j-1}$$

The minimal eigenvalues of these forms have a special unique behavior which was used successfully in Image Analysis for Object Recognition.

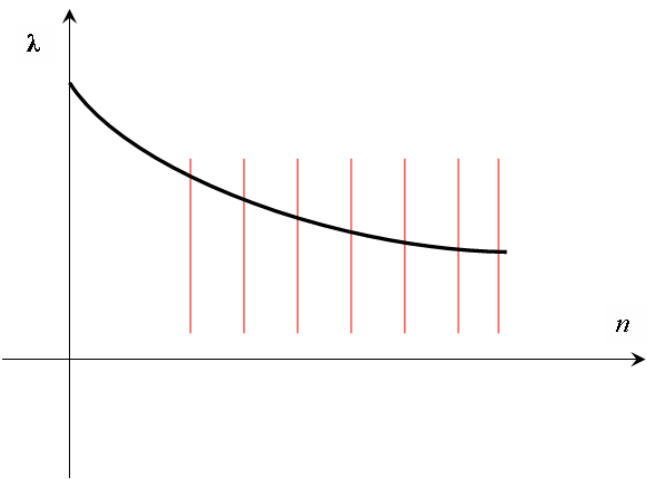
$$\lambda_0 \geq \dots \geq \lambda_i \geq \dots \geq \lambda_n$$

This fact was proved both theoretically and experimentally.

Töeplitz minimal eigenvalues behavior

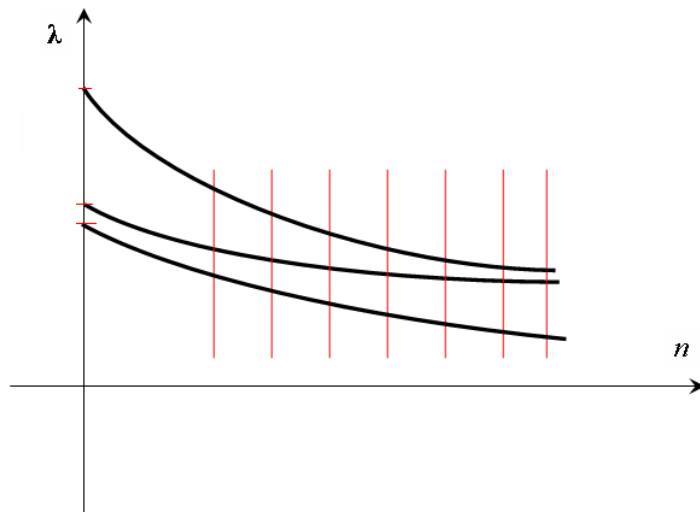


- Data reduction, optimising minimal requirements for image annotation.
- 👉 The main advantage of the minimal eigenvalues comprises **the**
- 👉 **RELATION BETWEEN VECTOR COMPONENTS**
- and **NOT THE GEOMETRIC NATURE** of the features.



Each part or interval CARRIES THE SAME monotonic characteristics.

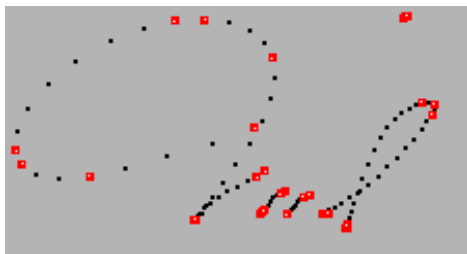
The same information is obtained from all the lines representing the same image, but differing from one image to another in a class.

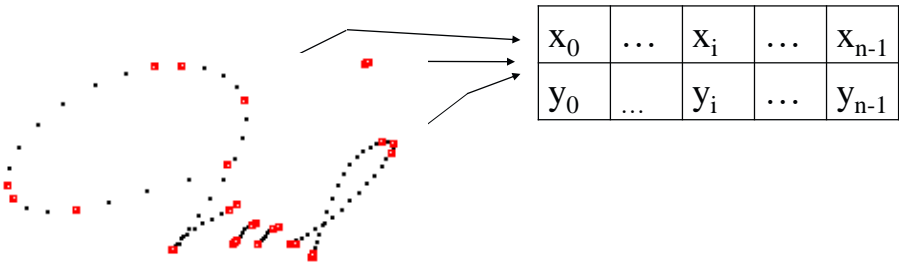


This has its applications in many science aspects (not only Biometrics).

How to construct Töeplitz matrices from plot-image characteristics ?

Recalling for those who have not dealt with TM





x_0	\dots	x_i	\dots	x_{n-1}
y_0	\dots	y_i	\dots	y_{n-1}

$$f(s) = \frac{P(s)}{Q(s)} = \frac{x_0 + x_1s + x_2s^2 + x_3s^3 + x_4s^4 + \dots}{y_0 + y_1s + y_2s^2 + y_3s^3 + y_4s^4 + \dots}$$

$$T(s) = c_0 + c_1s + c_2s^2 + \dots + c_is^i + \dots$$

Töeplitz Matrices and their minimal eigenvalues

$$C = \begin{bmatrix} c_0 & c_1 & c_2 & \cdots & c_{n-1} \\ c_1 & c_0 & c_1 & \ddots & \vdots \\ c_2 & c_1 & c_0 & \ddots & c_2 \\ \vdots & \ddots & \ddots & \ddots & c_1 \\ c_{n-1} & \cdots & c_2 & c_1 & c_0 \end{bmatrix} \longrightarrow \begin{matrix} \lambda_0 \\ \lambda_1 \\ \vdots \\ \lambda_i \end{matrix}$$

\uparrow

$$T(p) = c_0 + c_1p + c_2p^2 + \dots + c_ip^i + \dots$$

Circular Toeplitz Matrices and their minimal eigenvalues

$$C = \begin{bmatrix} c_0 & c_{n-1} & c_{n-2} & \cdots & c_1 \\ c_1 & c_0 & c_{n-1} & \ddots & \vdots \\ c_2 & c_1 & c_0 & \ddots & c_{n-2} \\ \vdots & \ddots & \ddots & \ddots & c_{n-1} \\ c_{n-1} & c_{n-2} & \cdots & c_1 & c_0 \end{bmatrix} \longrightarrow \begin{matrix} \lambda_0 \\ \lambda_1 \\ \vdots \\ \lambda_i \end{matrix}$$

\nwarrow

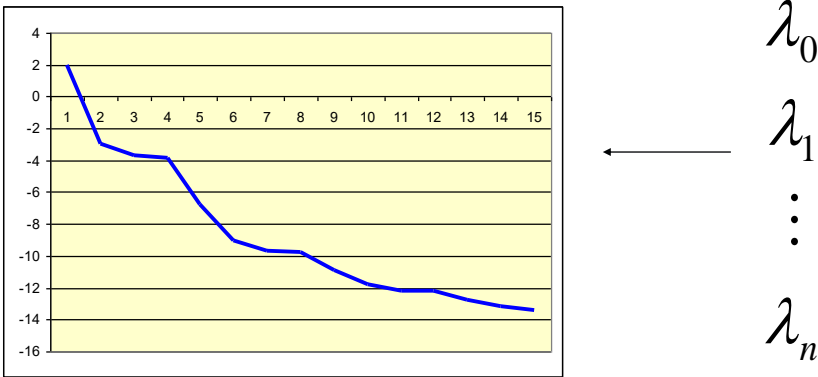
$$T(p) = c_0 + c_1p + c_2p^2 + \dots + c_ip^i + \dots$$

This is done in such a way to find the minimal eigenvalue of each submatrix:

$$C = \begin{bmatrix} c_0 & c_1 & c_2 & \cdots & c_{n-1} \\ c_1 & c_0 & c_1 & \ddots & \vdots \\ c_2 & c_1 & c_0 & \ddots & c_2 \\ \vdots & \ddots & \ddots & \ddots & c_1 \\ c_{n-1} & \cdots & c_2 & c_1 & c_0 \end{bmatrix}$$
$$\lambda_0$$
$$\lambda_1$$
$$\lambda_2$$
$$\vdots$$
$$\lambda_n$$
$$T(p) = c_0 + c_1 p + c_2 p^2 + \dots + c_i p^i + \dots$$

As shown above, the sequence of the minimal eigenvalues of Toeplitz matrices decreases monotonically with the increase of matrix size to reach a definite value as a limit at m , where $m \geq n$, and n is the number of characteristic points.

$$\lambda_0 \geq \dots \geq \lambda_i \geq \dots \geq \lambda_n$$



$$FV = [\lambda_0, \lambda_1, \dots, \lambda_n]$$

$$FV = [\lambda_0, \lambda_1, \dots, \lambda_n]$$

It could be shown that there exists
a perfect relation between these minimal eigenvalues
furnishing an easy-to-implement way of
object image description.

Thank you

Other ways of data feeding to Töeplitz forms:

$$1) \quad c_i = \sqrt{x_i^2 + y_i^2}$$

$$2) \quad c_0 = |r_0| - |r_i|, \quad c_1 = |r_1| - |r_2|, \dots, c_n = |r_n|$$

$$3) \quad c_i = r_i e^{j\varphi_i} \quad \text{where,} \quad \begin{cases} |r_i| = \sqrt{x_i^2 + y_i^2} \\ \varphi_i = \tan^{-1} \frac{y_i}{x_i} \end{cases}$$

In a given class-group, each element has its own series of minimal eigenvalues forming its FEATURE VECTOR – the *image code*

$$FV = [\lambda_0, \lambda_1, \dots, \lambda_n]$$

TM and Artificial Intelligence

AI plays an essential role in the classification stage:

TM eigenvalues give effective classification results with Neural Networks*.

* Saeed K., Tabędzki M.: Intelligent Feature Extract System for Cursive-Script Recognition. *Proc. IEEE-WSTST'05*, Muroran, Japan, 2005. Advances in Soft Computing, Springer-Verlag Berlin Heidelberg, Germany, 2005, 192-201

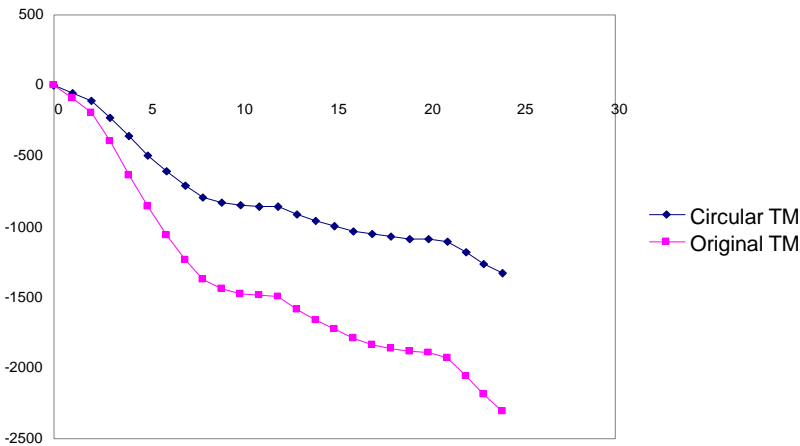
What is new?

The use of *Circulant Toeplitz Matrices* is introduced.

Circular Toeplitz Matrices furnish minimal eigenvalues series of the same character, but **converging to a limit much faster than the traditional ones**

$$C = \begin{bmatrix} c_0 & c_{n-1} & c_{n-2} & \cdots & c_1 \\ c_1 & c_0 & c_{n-1} & \ddots & \vdots \\ c_2 & c_1 & c_0 & \ddots & c_{n-2} \\ \vdots & \ddots & \ddots & \ddots & c_{n-1} \\ c_{n-1} & c_{n-2} & \cdots & c_1 & c_0 \end{bmatrix} \longrightarrow \begin{matrix} \lambda_0 \\ \lambda_1 \\ \vdots \\ \lambda_i \end{matrix}$$

The following slide shows the newest results of the research on CIRCULANT Toeplitz Matrices minimal eigenvalues



$$FV = [\lambda_0, \lambda_1, \dots, \lambda_n]$$

*Additional important property is that the eigenvalues of a circulant matrix can be readily calculated by **Fast Fourier Transform** and that they are related to **Discrete Cosine Transform**.*

*Additional important property is that the eigenvalues of a circulant matrix can be readily calculated by **Fast Fourier Transform** and that they are related to **Discrete Cosine Transform**.*

This opens wide possibilities for research seekers !

If no time, I can skip some of the following slides 😞

If ok, then

I would, however, show the mathematics beyond these modes – *the descriptor models*:

Mathematics of Descriptors

The origin of the mathematical model goes back to the days of Caratheodory, Toeplitz and Schur between 1911 and 1917. Then Brune (1931) worked on similar classes of functions

Carathéodory function $C(p)$

Definitions:

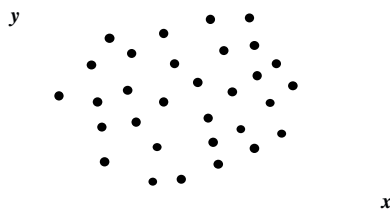
The function $C(p)$ is Carathéodory function if:

1. $C(p)$ is analytic for
2. $\operatorname{Re} C(p) > 0$ for

Given real numbers

$$a_i \quad i = 1, 2, \dots, n$$

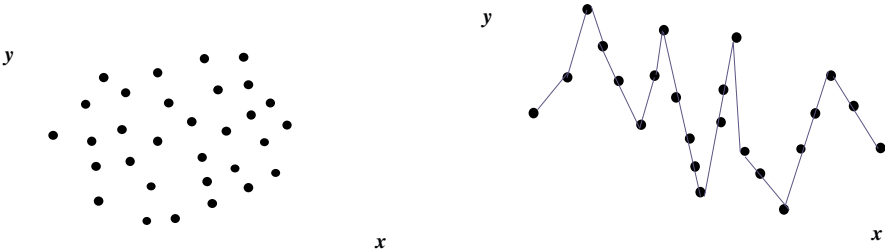
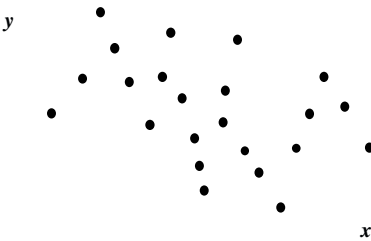
distributed in the x - y plane randomly



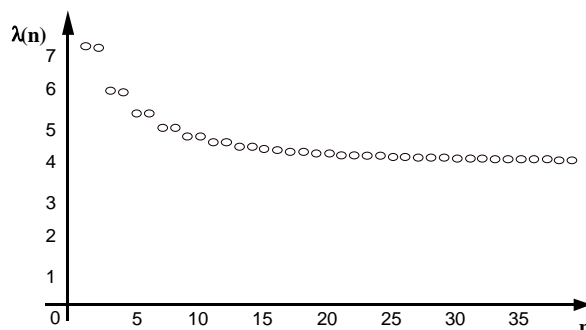
Given real numbers

$$a_i \quad i = 1, 2, \dots, n$$

distributed in the x - y plane randomly
or according to a given criterion.



These points can be redistributed in a way to furnish the sequence illustrated below.



This is done according to the transformation:

$$(x_i, y_i) \Rightarrow \lambda_i$$

and the (x_i, y_i) can be the geometric points of an object image.

The monotonically decreasing quantity representation is useful for **Image Representation to take the place of traditional methods of image escription for classification within the Biometric Systems of people **Authentication**.**

It has proved its use in Image description, particularly as an **IMAGE CODE in **Biometric Image Description**.**

**Application of the Theory to
Signal & Image Processing
and *Biometrics***
has already been shown above

